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PTO/SB/31 (05-03)

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<b>NOTICE OF APPEAL FROM THE EXAMINER TO THE BOARD OF PATENT APPEALS AND INTERFERENCES</b>		<b>Docket Number (Optional)</b> 29912-701.201	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as express mail in an envelope addressed to "Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450" EV 333 489 372 US  on <u>June 23, 2004</u> Signature <u>Annette Palladino</u>  Typed or printed name <u>Annette Palladino</u>		In re Application of Alferness et al.	
		Application Number 09/751,271	Filed December 28, 2000
		For: Mitral Valve Constricting Device, System And Method	
		Art Unit 3732	Examiner K. Odland
Applicant hereby <b>appeals</b> to the Board of Patent Appeals and Interferences from the last decision of the examiner.			
The fee for this Notice of Appeals is (37 CFR 1.17(b)) <span style="float:right">\$ <u>330.00</u></span>			
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced by half, and the resulting fee is: <span style="float:right">\$ <u>165.00</u></span>			
<input type="checkbox"/> A check in the amount of the fee is enclosed.			
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<input type="checkbox"/> The Director has already been authorized to charge fees in this application to a Deposit Account. I have enclosed a duplicate copy of this sheet.			
<input checked="" type="checkbox"/> The Director is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. <u>23-2415</u> have enclosed a duplicate copy of this sheet.			
<input checked="" type="checkbox"/> A petition for an extension of time under 37 CFR 1.36(a) (PTO/SB/22) is enclosed.			
<b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b>			
I am the			
<input type="checkbox"/> applicant/inventor.		06/29/2004 JADDO1 00000069 232415 <u>09/01/01</u> <u>Shay</u> 01 FC:2401 165.00 DA <span style="float:right">Signature</span>	
<input type="checkbox"/> assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)		<u>James R. Shay, Reg. No. 32,062</u> <span style="float:right">Typed or printed name</span>	
<input checked="" type="checkbox"/> attorney or agent of record.		<u>650-493-9300</u> <span style="float:right">Telephone number</span>	
<input type="checkbox"/> attorney or agent acting under 37 CFR 1.34(a). Registration number if acting under 37 CFR 1.34(a) _____		<u>June 23, 2004</u> <span style="float:right">Date</span>	
NOTE: Signatures of all the inventors or assignees of record of the entire interest of their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.			
<input checked="" type="checkbox"/> *Total of <u>2</u> forms are submitted.			

This collection of information is required by 37 CFR 1.191. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.